

Regio- and stereo-selective dioxygenase-catalysed *cis*-dihydroxylation of fjord-region polycyclic arenes

Derek R. Boyd,^{*a} Narain D. Sharma,^a John S. Harrison,^a Martina A. Kennedy,^a Christopher C. R. Allen^b and David T. Gibson^c

^a School of Chemistry, The Queen's University of Belfast, Belfast, UK BT9 5AG

^b QUESTOR Centre, The Queen's University of Belfast, Belfast, UK BT9 5AG

^c Department of Microbiology and the Center for Biocatalysis and Bioprocessing, The University of Iowa, Iowa City, IA 52242

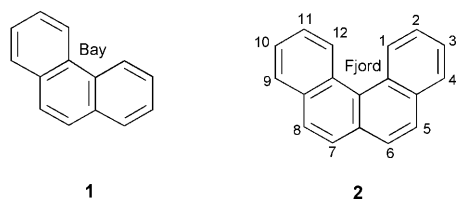
Received (in Cambridge, UK) 27th February 2001, Accepted 4th April 2001

First published as an Advance Article on the web 9th May 2001

Bacterial dioxygenase-catalysed *cis*-dihydroxylation of the tetracyclic arenes benzo[*c*]phenanthrene **2**, and the isosteric compounds benzo[*b*]naphtho[1,2-*d*]furan **8**, and benzo[*b*]naphtho[1,2-*d*]thiophene **9**, has been found to occur exclusively at fjord-region bonds. The resulting *cis*-dihydrodiols **7**, **10** and **11** were found to be enantiopure and of similar absolute configuration. *cis*-Dihydroxylation was also observed in the pseudo-fjord region of the 8,9,10,11-tetrahydro-precursors (**12** and **13**) of benzo[*b*]naphtho[1,2-*d*]furan **8**, and benzo[*b*]naphtho[1,2-*d*]thiophene **9**, to yield the corresponding enantiopure hexahydro *cis*-diols **14** and **15**. A novel tandem *cis*-dihydroxylation and bis-desaturation of the tetrahydro-substrate, tetrahydrobenzo[*b*]naphtho[1,2-*d*]thiophene **13**, catalysed by biphenyl dioxygenase, was found to yield the fjord-region *cis*-dihydrodiol **17** of benzo[*b*]naphtho[1,2-*d*]thiophene **9**.

Introduction

Polycyclic aromatic hydrocarbons (PAHs), *e.g.* phenanthrene **1** and benzo[*c*]phenanthrene **2**, and the corresponding aza-, oxa- and thia-heterocyclic analogues are ubiquitous in the environment as a result of partial combustion of organic material including wood and fossil fuels. Their biodegradation in animals, plants and fungi by eucaryotic monooxygenases generally involves arene oxides, *e.g.* **3** and **4**, and in the presence of epoxide hydrolases these are in turn converted to *trans*-dihydrodiols, *e.g.* **5** and **6**, Scheme 1.¹ Bacteria commonly utilize a complementary biodegradation pathway for arenes, relative to animals, plants and fungi, involving *cis*-dihydrodiols as initial metabolites and procaryotic dioxygenases as biocatalysts, Scheme 1.²⁻⁴ Although bacterial biodegradation has been identified as a major method for the removal of arenes from the environment, the majority of procaryotic metabolism reports have focused on the smaller members, *e.g.* mono-, bi-, and tri-cyclic arenes.²⁻⁴ Studies of the bacterial biodegradation of larger PAHs, *e.g.* tetra- and penta-cyclic arenes are of importance in view of their increased recalcitrance and threat to health.



Angular members of the PAH series may contain both bay (*cf.* phenanthrene, **1**) and fjord (*cf.* benzo[*c*]phenanthrene, **2**) regions. While the bacterial biotransformation of larger PAHs and their heterocyclic analogues, containing one or more bay regions has been investigated,^{3,4} studies of arenes with fjord or pseudo-fjord regions (Schemes 1 and 2) have not previously been reported. The low solubility of larger PAHs in water, allied to the inability of the more widely used dioxygenase enzymes to accept such substrates, *e.g.* toluene dioxygenase (TDO) and

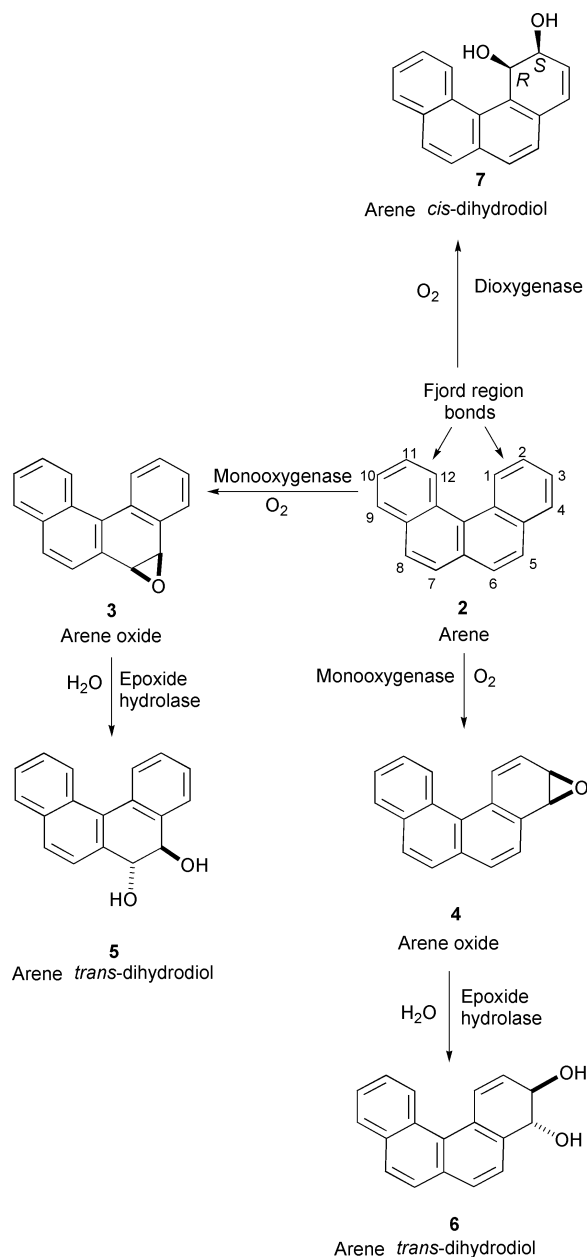
naphthalene dioxygenase (NDO), are assumed to be limiting factors; isosteric heteroarenes being more water-soluble than carbocyclic arenes are expected to biodegrade more efficiently.

Bacterial metabolism of the carcinogenic angular PAHs benz[*a*]anthracene,^{5,6} chrysene^{7,8} and benzo[*a*]pyrene⁵ and the heterocyclic analogues benzo[*b*]naphtho[2,1-*d*]thiophene⁸ and benzo[*c*]phenanthridine⁸ has been studied in our laboratories using *Sphingomonas yanoikuyae* B8/36, a mutant strain containing biphenyl dioxygenase (BPDO) and deficient in *cis*-diol dehydrogenase activity. These studies have confirmed that bacterial dioxygenase-catalysed *cis*-dihydroxylation of angular PAHs occurs in a regioselective manner to yield bay-region *cis*-dihydrodiols as major,^{5,6} or exclusive,^{5,7,8} bioproducts. A second sequential *cis*-dihydroxylation was also found to occur in the tetracyclic arenes chrysene and benzo[*b*]naphtho[2,1-*d*]thiophene at the other bay region in these substrates.⁸

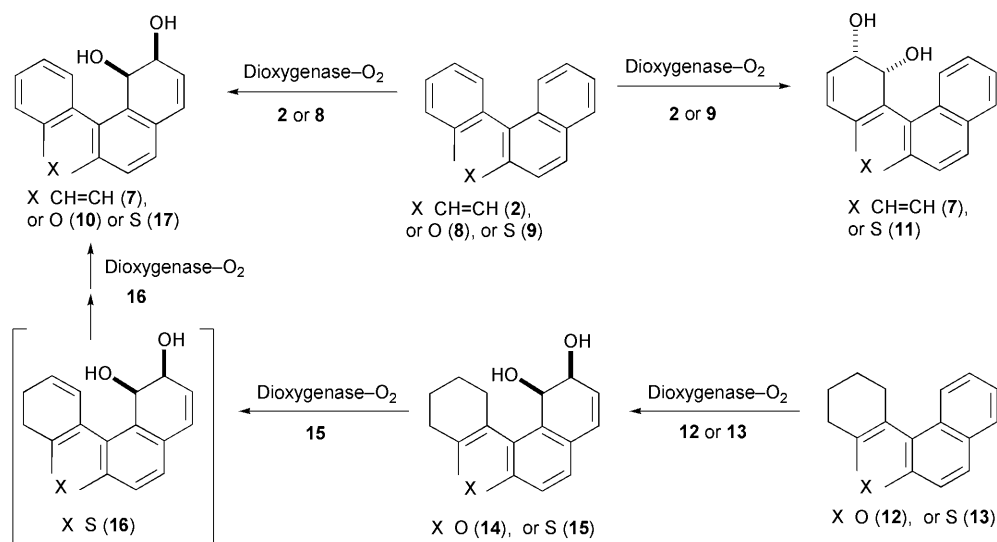
Angular PAHs, containing a fjord region, are more congested compared with arenes containing a bay region, and thus some are found to be non-planar in the crystalline state. This study indicates that bacterial *cis*-dihydroxylation of tetracyclic arenes and tetrahydroarenes occurs with optimal regio- and stereo-selectivity within the congested fjord (arenes **2**, **8**, and **9**) or pseudo-fjord (arenes **12** and **13**) regions using biphenyl dioxygenase (BPDO) from *S. yanoikuyae* B8/36 or a naphthalene dioxygenase (NDO) found to be present in a mutant strain (9816/11) of *Pseudomonas putida*. Furthermore, a novel tandem biotransformation pathway involving bis-desaturation and *cis*-dihydroxylation of the tetrahydrothiaarene **13** was found to yield a fjord-region *cis*-dihydrodiol regioisomer **17** which was not detected during biotransformation of benzo[*b*]naphtho[1,2-*d*]thiophene **9**.

Results and discussion

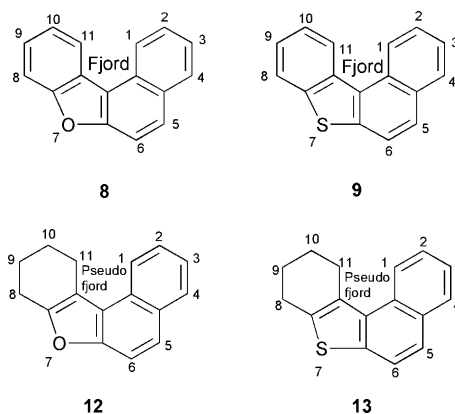
Benzo[*c*]phenanthrene, **2**, is the smallest member of the PAH series to contain a fjord region. Its metabolism in animal liver systems, occurs *via* monooxygenase (CYP-450)-catalysed epoxidation to yield the 3,4- and 5,6-arene oxides (**4** and **3**) followed by epoxide hydrolase-catalysed hydrolysis to give



Scheme 1



Scheme 2



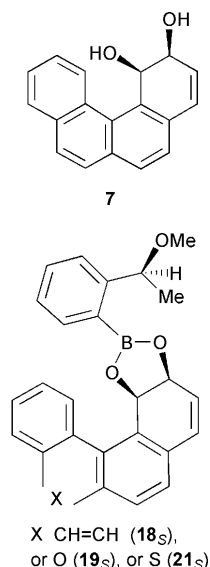
the corresponding *trans*-dihydrodiols (**5** and **6**, Scheme 1).⁹ Further enzyme-catalysed epoxidation of the 1,2-bond in *trans*-dihydrodiol **6** yielded the fjord-region *anti*-3,4-dioxo-3,4-dihydrobenzo[*c*]phenanthrene 1,2-oxide. This metabolite, showing an extremely high level of *in vitro* DNA binding, was identified as the ultimate carcinogen from benzo[*c*]phenanthrene **2** and was reported to have the highest tumour-initiating activity of known PAH diol-epoxides.^{10,11} Monooxygenase-catalysed epoxidation has not been reported at the 1,2- (fjord-region) bond of benzo[*c*]phenanthrene **2**.

Bacterial oxidation, catalysed by BPDO using intact cells of *S. yanoikuyae* B8/36 cultured under reported conditions,⁸ has now been found to occur exclusively at the 1,2-bond to yield a single *cis*-dihydrodiol metabolite **7** (Scheme 1). The structure of the fjord-region *cis*-dihydrodiol **7** was deduced by MS and NMR analyses. The NOE enhancement (7%) between protons H-1 and H-12 and the coupling constant for $J_{1,2}$ (6 Hz) provided evidence of *cis*-dihydroxylation occurring at the 1,2-bond with proton H-1 being in a pseudoaxial conformation due to steric congestion in the fjord region. *cis*-Dihydrodiol **7** was found to be a single enantiomer (12% isolated yield, $[\alpha]_D -222$, CHCl₃, >98% ee) by reaction with (*S*)- and (*R*)-2-(1-methoxyethyl)benzeneboronic acid (MEBBA) and ¹H-NMR analysis of the corresponding diastereomeric boronate esters **18_S** and **18_R**. Formation of the MEBBA derivatives **18_S** and **18_R** also allowed the absolute configuration (1*R*,2*S*) of *cis* diol **7** to be assigned (Table 1). This absolute configuration assignment method has also been used for bay-region *cis*-dihydrodiol derivatives in the polycyclic arene series including phenanthrene⁷ and chrysene.¹² Thus, the ¹H-NMR spectrum of the (*S*)-MEBBA derivative of a bay-region *cis*-dihydrodiol, having a benzylic (*R*) config-

Table 1 Chemical shift values (δ_{MeO}) for the (*S*)-MEBBA (**18_S**–**23_S**) and (*R*)-MEBBA (**18_R**–**23_R**) derivatives of the *cis*-dihydrodiol metabolites **7**, **10**, **11**, **17**, **14**, and **15**

<i>cis</i> -Dihydrodiol	δ_{MeO} (<i>S</i> -MEBBA)	δ_{MeO} (<i>R</i> -MEBBA)	Absolute configuration
7	3.25 (18_S)	3.32 (18_R)	(1 <i>R</i> ,2 <i>S</i>)
10	3.01 (19_S)	3.17 (19_R)	(1 <i>R</i> ,2 <i>S</i>)
11	3.14 (22_S)	3.23 (22_R)	(1 <i>R</i> ,10 <i>S</i>)
17	3.15 (21_S)	3.21 (21_R)	(1 <i>R</i> ,2 <i>S</i>)
14	3.16 (20_S)	3.22 (20_R)	(1 <i>R</i> ,2 <i>S</i>)
15	3.19 (23_S)	3.23 (23_R)	(1 <i>R</i> ,2 <i>S</i>)

uration, shows a MeO signal with smaller δ values relative to the corresponding (*R*)-MEBBA derivative. The absolute configuration of *cis*-dihydrodiol **7** was confirmed by a circular dichroism study (exciton chirality) of the phenylboronate derivative of the *cis*-1,2,3,4-tetrahydrodiol obtained by catalytic hydrogenation of *cis*-dihydrodiol **7**.

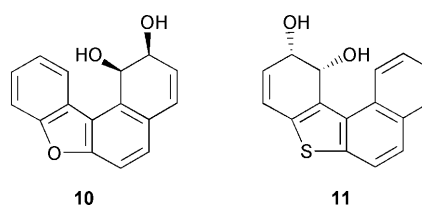


When benzo[*c*]phenanthrene **2** was added as substrate to *Pseudomonas putida* 9816/11, a mutant strain containing NDO with the *cis*-dihydrodiol dehydrogenase activity blocked, *cis*-dihydrodiol **7** of identical enantiopurity and absolute configuration was again obtained as the sole metabolite. This observation is noteworthy since earlier biotransformation studies have suggested that NDO has a smaller active site compared with BPDO and did not accept a tetracyclic arene substrate.^{2,3} While the yield obtained using NDO was much lower (*ca.* 1%), addition of the surfactant Triton X100 (2 mM) during the biotransformation of benzo[*c*]phenanthrene **2** using *P. putida* 9816/11 improved the mass transfer process and increased the yield of *cis*-dihydrodiol **7** by a factor of seven. Unfortunately Triton X100 proved to be toxic to *S. yanoikuyae* B8/36 cells containing BPDO.¹³ Naturally occurring surfactants (biosurfactants, *e.g.* glycolipids or lipopeptides) may play a similar role in increasing the efficiency of bacterial biodegradation of PAHs in the soil.

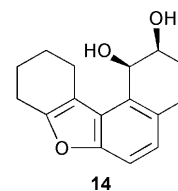
It is evident that benzo[*c*]phenanthrene **2**, and presumably other recalcitrant PAHs, can be removed from the environment by bacterial biodegradation involving *cis*-dihydroxylation at a fjord region which prevents the formation of carcinogenic fjord-region diol epoxides in mammals. In order to extend the study to heteroarenes, the heterocyclic arenes benzo[*b*]naphtho[1,2-*d*]furan **8** and benzo[*b*]naphtho[1,2-*d*]thiophene **9**, having fjord regions and the corresponding tetrahydroarenes **12** and **13** with pseudo-fjord regions, were examined as substrates.

Unlike benzo[*c*]phenanthrene **2**, benzo[*b*]naphtho[1,2-*d*]furan **8** is unsymmetrical and has two non-equivalent fjord-region bonds (1,2- and 10,11-) which are associated with naphthalene and benzene rings each fused to the furan ring. When used as a substrate for *S. yanoikuyae* B8/36, benzo[*b*]naphtho[1,2-*d*]furan

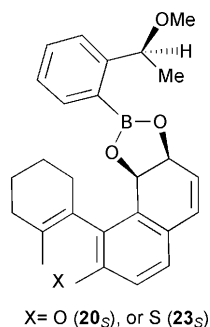
8 yielded the fjord-region *cis*-dihydrodiol **10** (14% yield, $[a]_{\text{D}} +42$, CHCl₃) as the sole metabolite. The regioselectivity of dioxygenase-catalysed dihydroxylation of benzo[*b*]naphtho[1,2-*d*]furan **8** was confirmed by ¹H-NMR spectral analysis including NOE. Thus, irradiation of the H-1 and H-4 signals individually showed enhancements at H-11 (4%) and H-5 (3%) respectively. The relative stereochemistry of the OH groups at C-1 and C-2 was found to be *cis* from the *vicinal* coupling constant ($J_{1,2}$ 5.4 Hz). Formation of the corresponding (*S*)- and (*R*)-MEBBA derivatives **19_S** and **19_R**, followed by NMR analysis in the manner described for *cis*-dihydrodiol **7**, indicated that diol **10** was enantiopure (98% ee) and also of (1*R*,2*S*) configuration (Table 1). Biotransformation of substrate **8** using *P. putida* 9816/11 also gave *cis*-dihydrodiol **10** (11% yield) of identical enantiomeric excess (98% ee) and (1*R*,2*S*) absolute configuration. Using both BPDO and NDO enzymes *cis*-dihydroxylation had thus occurred exclusively at the 1,2-fjord-region bond in the furan-fused naphthalene ring in preference to the alternative 10,11-fjord-region bond in the furan-fused phenyl ring.



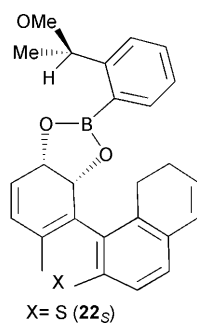
Dioxygenase-catalysed *cis*-dihydroxylation of benzo[*b*]furan (using TDO)³ and dibenzofuran (NDO, BPDO)^{2,3} was less regioselective (two *cis*-dihydrodiols were formed in each case) than found with benzo[*b*]naphtho[1,2-*d*]furan **8**. The regioselectivity for a modified type of fjord region (pseudo-fjord region) during dioxygenase-catalysed *cis*-dihydroxylation was examined using tetrahydrobenzo[*b*]naphtho[1,2-*d*]furan **12** and *S. yanoikuyae* B8/36, but no evidence of dihydroxylation was found. However with *P. putida* 9816/11, *cis*-dihydrodiol **14** was isolated as a single enantiomer (8% isolated yield, $[a]_{\text{D}} +70$, CHCl₃); based upon ¹H-NMR spectral analysis of the MEBBA derivatives **20_S** and **20_R** (Table 1) an ee value of >98% and a (1*R*,2*S*) configuration was assigned.



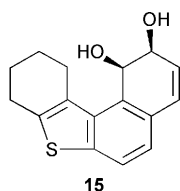
Benzo[*b*]naphtho[1,2-*d*]thiophene **9** proved to be an acceptable substrate for *Sphingomonas yanoikuyae* B8/36 again yielding a single fjord-region *cis*-dihydrodiol which was identified as compound **11** (2% isolated yield, $[a]_{\text{D}} -21$, MeOH) from its NMR spectral analysis including coupling constants ($J_{10,11}$ 5.3 Hz) and NOE data (irradiation of H-1 and H-4 gave enhancements of 8% and 5% on signals H-11 and H-5 respectively). Formation of the MEBBA derivative **22_S** and **22_R** confirmed the



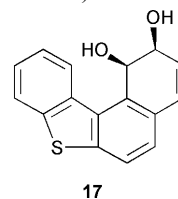
structure and showed that a single enantiomer (98% ee) of (1*R*,10*S*) configuration had been formed (Table 1). In contrast with the result obtained with benzo[*b*]naphtho[1,2-*d*]furan **8** as substrate, where exclusive *cis*-dihydroxylation occurred at the 1,2-fjord-region bond in the furan-fused naphthalene ring, the oxidation of the isosteric substrate **9** was found to occur only at the 10,11-fjord-region bond in the thiophene-fused benzene ring. It was surprising to find that substrates **8** and **9**, with similar structures, were oxidized regioselectively but at different fjord-region bonds. However, studies on isosteric benzo[*b*]thiophene and benzo[*b*]furan substrates, using TDO, and on dibenzofuran, using NDO, had also found differences in regioselectivity during *cis*-dihydroxylation.^{14–16} *cis*-Dihydrodiol **11** was formed in very low yield (<1%) when substrate **8** was oxidized in the presence of NDO using intact cells of *P. putida* 9816/11.



Addition of the tetrahydrobenzo[*b*]naphtho[1,2-*d*]thiophene **13** as substrate to *S. yanoikuyae* B8/36 proved to be exceptional in yielding two *cis*-dihydrodiols. Since the thiophene-fused benzene ring was not present in substrate **13**, it was anticipated that *cis*-dihydroxylation would occur exclusively at the available pseudo-fjord-region bond (1,2-) in the thiophene-fused naphthalene ring. The more polar bioproduct (R_f 0.25, 10% MeOH in CHCl_3 as eluant) was found to be the expected *cis*-dihydrodiol **15** (3% isolated yield, $[\alpha]_D^{25} +110$, MeOH). It was enantiopure (98% ee) and had a (1*R*,2*S*) configuration (MEBBA derivative formation, **23_S** and **23_R**, Table 1). The second bioproduct (R_f 0.27) was identified as (1*R*,2*S*)-*cis*-1,2-dihydroxy-1,2-dihydrobenzo[*b*]naphtho[1,2-*d*]thiophene **17** (2% isolated yield, $[\alpha]_D^{25} +18$, MeOH, >98% ee, MEBBA derivative formation, **21_S** and **21_R**, Table 1). The absolute configurations assigned to each of the new fjord- (**7**, **10**, **11**, and **17**) and pseudo-fjord-region *cis*-dihydrodiols (**14** and **15**) were found to be similar to the PAH *cis*-dihydrodiols previously isolated from dioxxygenase-catalysed dihydroxylation (benzylic *R*, allylic *S*).³



The regiocomplementary formation of the fjord-region *cis*-dihydrodiols **11** and **17** is consistent with BPDO-catalysed direct *cis*-dihydroxylation of benzo[*b*]naphtho[1,2-*d*]thiophene **9** (to yield diol **11**) and 8,9,10,11-tetrahydrobenzo[*b*]naphtho[1,2-*d*]thiophene **13** (to yield diol **15**) followed by an unprecedented



bis-desaturation (assumed to be BPDO-catalysed to yield diol **17**, Scheme 2). Dioxygenase-catalysed mono-desaturation reactions have been observed earlier with indane (using NDO to yield indene)¹⁷ and 1,2-dihydronaphthalene as substrates (using TDO to yield naphthalene).¹⁸ The sequence of desaturation (dehydrogenation) steps involved in the dioxxygenase-catalysed synthesis of *cis*-dihydrodiol **17** has not been determined. However, since the *cis*-hexahydrodiol metabolite **15** was isolated, it is probable that the metabolic sequence first involves the formation of diol **15**. This step appears to be followed by an initial desaturation step to yield a transient, 8,9-dihydrobenzo[*b*]naphtho[1,2-*d*]thiophene *cis*-tetrahydrodiol **16** (or the isomeric 10,11-dihydrobenzo[*b*]naphtho[1,2-*d*]thiophene) and a second desaturation step to give the dihydrodiol **17**. Recent studies have shown that some of the initially formed *cis*-dihydrodiol metabolites using BPDO undergo a further type of sequential or tandem dioxxygenase-catalysed oxidation (bis-*cis*-dihydroxylation).^{19,20}

Conclusion

The earlier reported preference shown for bay region bonds during biphenyl dioxxygenase-catalysed *cis*-dihydroxylation of PAHs or heteroPAHs has been extended to the exclusive oxidation of the more congested fjord-region and pseudo-fjord-region bonds of tetracyclic-arenes and tetracyclic-tetrahydroarenes respectively. *cis*-Dihydroxylation at a pseudo-fjord-region bond of tetrahydrobenzo[*b*]naphtho[1,2-*d*]thiophene **13** was followed by an unusual bis-desaturation process to yield the second *cis*-dihydrodiol regioisomer **17** from benzo[*b*]naphtho[1,2-*d*]thiophene **9**.

Experimental

¹H NMR spectra were recorded at 300 MHz (Bruker Avance DPX-300) and 500 MHz (Bruker Avance DRX-500) in CDCl_3 solvent unless stated otherwise. Chemical shifts (δ) are reported in ppm relative to SiMe_4 and coupling constants (J) are given in Hz. Electron impact mass spectra were recorded at 70 eV on a VG Autospec Mass Spectrometer, using a heated inlet system. Accurate molecular weights were determined by the peak matching method with perfluorokerosene as standard. Elemental microanalyses were obtained on a Perkin-Elmer 2400 CHN microanalyser. Optical rotations are given in 10^{-1} deg $\text{cm}^2 \text{g}^{-1}$ and were measured on a Perkin-Elmer 421 polarimeter at ambient temperature. Circular dichroism spectra were recorded on a Jasco J-720 instrument in acetonitrile solvent. The syntheses of (–)-(*S*)- and (+)-(*R*)-2-(1-methoxyethyl)benzeneboronic acid (MEBBA) and ¹H-NMR analysis of the corresponding diastereomeric boronate esters were carried by the reported methods.^{7,12}

The substrates benzo[*c*]phenanthrene **2**,²¹ benzo[*b*]naphtho[1,2-*d*]furan **8**,²² benzo[*b*]naphtho[1,2-*d*]thiophene **9**,²³ 8,9,10,11-tetrahydrobenzo[*b*]naphtho[1,2-*d*]furan **12**,²² and 8,9,10,11-tetrahydrobenzo[*b*]naphtho[1,2-*d*]thiophene **13**²³ were synthesised by the literature procedures.

Biotransformations of substrates **2**, **8**, **9**, **12**, and **13** were carried out using *Sphingomonas yanoikuyae* B8/36 and/or

Pseudomonas putida 9816/11 in the manner described.⁷ The growing cultures containing the substrates were incubated (18 h) in the dark at 30 °C with shaking (220 rpm). The cells were subsequently removed by centrifugation and the supernatant extracted thoroughly with ethyl acetate after saturation with sodium chloride. The extracts were dried (MgSO₄), concentrated, and the products purified by PLC on glass plates (20 × 20 cm) coated with Merck Kieselgel 60 PF₂₅₄.

Biotransformations using *S. yanoikuyae* B8/36

(a) **Benzo[*c*]phenanthrene 2.** Substrate **2** (0.1 g, 0.44 mmol) yielded (–)-(1*S*,2*R*)-*cis*-1,2-dihydroxy-1,2-dihydrobenzo[*c*]phenanthrene **7** (0.14 g, 12%); *R*_f 0.5 (CHCl₃–MeOH, 95 : 5), mp 97 °C (from pentane–CH₂Cl₂); [α]_D –222 (c 0.68, CHCl₃) (Found: M⁺, 262.0997. C₁₈H₁₄O₂ requires *M*, 262.0994); δ_H (500 MHz, CDCl₃) 4.54 (1 H, m, H-2), 5.42 (1 H, dd, *J*_{1,2} 6.2, *J*_{1,3} 1.7, H-1), 6.00 (1 H, ddd, *J*_{3,1} 1.7, *J*_{3,2} 1.7, *J*_{3,4} 9.6, H-3), 6.67 (1 H, dd, *J*_{4,2} 2.6, *J*_{4,3} 9.6, H-4), 7.37 (1 H, d, *J* 8.0, Ar-H), 7.62–7.70 (4 H, m, Ar-H), 7.83 (1 H, d, *J* 8.0, Ar-H), 7.90 (1 H, dd, *J*_{9,10} 7.0, *J*_{9,11} 1.6, Ar-H, H-9), 9.12 (1 H, dd, *J*_{12,11} 7.2, *J*_{12,1} 1.6, H-12); δ_C (125 MHz, CDCl₃) 67.68, 71.26, 104.58, 118.47, 125.81, 126.28, 126.92, 127.07, 127.59, 128.05, 128.27, 128.52, 129.66, 129.83, 130.68, 131.11, 146.20; *m/z* 262 (15), 244 (100), 215 (42), 189 (35); Electronic CD data: λ 367 nm (Δε –2.590), 349 (Δε –2.959), 329 (Δε –19.69), 317 (Δε –22.68), 289 (Δε –4.0), 273 (Δε 11.12), 265 (Δε –19.24), 242 (Δε 71.66), 209 (Δε –44.42); >98% ee by the MBBA method.

(b) **Benzo[*b*]naphtho[1,2-*d*]furan 8.** Substrate **8** (0.1 g, 0.5 mmol) yielded (+)-(1*R*,2*S*)-*cis*-1,2-dihydroxy-1,2-dihydrobenzo[*b*]naphtho[1,2-*d*]furan **10** (0.16 g, 14%); *R*_f 0.2 (CHCl₃–MeOH, 95 : 5); mp 115 °C (from CH₂Cl₂); [α]_D +42 (c 0.9, CHCl₃) (Found: M⁺, 252.0796. C₁₆H₁₂O₃ requires *M* 252.0786); δ_H (500 MHz, CDCl₃) 4.74 (1 H, ddd, *J*_{2,1} 5.0, *J*_{2,3} 2.5, *J*_{2,4} 2.5, 2-H), 5.41 (1 H, d, *J*_{1,2} 5.4, 1-H), 5.91 (1 H, ddd, *J*_{3,4} 9.8, *J*_{3,2} 1.7, *J*_{3,1} 1.7, 3-H), 6.56 (1 H, dd, *J*_{4,3} 9.8, *J*_{4,2} 2.6, 4-H), 7.22 (1 H, d, *J*_{5,6} 8.3, 5-H), 7.39 (1 H, dd, *J*_{9,8} 6.8, *J*_{9,10} 6.8, 10-H), 7.45 (1 H, d, *J*_{6,5} 8.3, 6-H), 7.50 (1 H, dd, *J*_{8,7} 8.4, *J*_{8,9} 7.3, 9-H), 7.58 (1 H, d, *J*_{7,8} 8.2, 8-H), 8.19 (1 H, d, *J*_{10,9} 7.6, 11-H); δ_C (125 MHz, CDCl₃) 66.65, 69.80, 111.88, 112.19, 123.04, 123.25, 126.51, 127.04, 127.16, 127.74, 128.55, 129.28, 155.99, 156.90; *m/z* 252 (30), 234 (100), 205 (85), 149 (55); electronic CD data: λ 294 nm (Δε 1.213), 267 (Δε –1.872), 224 (Δε 5.549), 205 (Δε –99.7); >98% ee by the MEBBA method.

(c) **Benzo[*b*]naphtho[1,2-*d*]thiophene 9.** Substrate **9** (0.1 g, 0.42 mmol) yielded (+)-(10*S*,11*R*)-*cis*-10,11-dihydroxy-10,11-dihydrobenzo[*b*]naphtho[1,2-*d*]thiophene **11** (0.006 g, 2%), *R*_f 0.2 (CHCl₃–MeOH, 95 : 5), mp 100–103 °C decomp. (from CH₂Cl₂), [α]_D +21 (c 0.3, MeOH) (Found: M⁺, 268.0561. C₁₆H₁₂SO₂ requires *M* 268.0558); δ_H (500 MHz, CDCl₃) 4.71 (1 H, m, H-10), 5.58 (1 H, d, *J*_{11,10} 5.3, H-11), 5.96 (1 H, dd, *J*_{8,9} 9.8, *J*_{9,10} 1.5, H-9), 6.55 (1 H, dd, *J*_{9,8} 9.8, *J*_{8,10} 2.7, H-8), 7.22 (1 H, d, *J*_{5,6} 8.1, H-5), 7.47–7.52 (2 H, m, H-2 and H-3), 7.79 (1 H, d, *J*_{5,6} 8.1, H-6), 7.87 (1 H, m, H-4), 8.52 (1 H, d, *J*_{1,2} 7.8, H-1); δ_C (125 MHz, CDCl₃) 65.9, 70.5, 123.4, 123.9, 125.3, 126.1, 126.2, 127.2, 127.5, 129.4, 129.8, 130.6, 134.6, 134.9; *m/z* 268 (M⁺, 80%), 250 (100), 221 (88), 196 (23), 86 (17); electronic CD data: λ 303 nm (Δε –2.816), 259 (Δε –9.45), 241 (Δε 2.583), 227 (Δε –9.124), 197 (Δε 1.578); >98% ee by the MEBBA method.

(d) **8,9,10,11-Tetrahydrobenzo[*b*]naphtho[1,2-*d*]thiophene 13.** Substrate **13** (0.35 g, 1.46 mmol) yielded (+)-(1*R*,2*S*)-*cis*-1,2-dihydroxy-1,2,8,9,10,11-hexahydrobenzo[*b*]naphtho[1,2-*d*]thiophene **15** (0.01 g, 3%), *R*_f 0.25 (CHCl₃–MeOH, 90 : 10); mp 98–100 °C decomp. (CHCl₃); *R*_f 0.25 (10% MeOH–CHCl₃); [α]_D +110 (c 0.4 in MeOH) (Found: M⁺, 272.0878. C₁₆H₁₆SO₂ requires *M* 272.087102); δ_H (500 MHz, CDCl₃) 1.70 (2 H, m, H-9), 1.88 (2 H, m, H-10), 3.17–3.28 (2 H, m, H-8), 3.41 (2 H, m, H-11), 4.52 (1 H, m, H-2), 5.11 (1 H, dd, *J*_{1,2} 5.0, *J*_{1,3} 1.3, H-1),

5.72 (1 H, m, H-3), 6.38 (1 H, dd, *J*_{4,3} 9.8, *J*_{4,2} 2.7, H-4), 6.87 (1 H, d, *J*_{5,6} 8.0, H-5), 7.54 (1 H, d, *J*_{6,5} 7.9, H-6); δ_C (125 MHz, CDCl₃) 22.9, 23.0, 26.5, 26.9, 65.9, 70.7, 123.1, 123.2, 123.9, 126.9, 128.2, 128.6, 129.5, 129.7, 138.3, 138.9; *m/z* 272 (M⁺, 15%), 254 (100), 226 (56), 86 (27); electronic CD data: λ 259 nm (Δε 5.875), 226 (Δε –6.38), 194 (Δε 3.116); ee >98% by the MEBBA method.

The second diol isolated and identified was (+)-(1*R*,2*S*)-*cis*-1,2-dihydroxy-1,2-dihydrobenzo[*b*]naphtho[1,2-*d*]thiophene **17** (0.005 g, 1.5%), *R*_f 0.26 (CHCl₃–MeOH, 95 : 5); [α]_D +18 (c 0.4 in MeOH) (Found: M⁺, 272.0872. C₁₆H₁₂SO₂ requires *M* 272.0871); δ_H (500 MHz, CDCl₃) 4.67 (1 H, m, H-2), 5.28 (1 H, d, *J*_{1,2} 5.2, H-1), 5.97 (1 H, dd, *J*_{3,4} 9.7, *J*_{3,2} 1.3, H-3), 6.48 (1 H, dd, *J*_{4,3} 9.7, *J*_{4,2} 2.7, H-4), 7.20 (1 H, d, *J*_{5,6} 9.6, H-5), 7.41 (1 H, m, H-9), 7.51 (1 H, m, H-10), 7.74–7.77 (2 H, m, H-6 and H-8), 8.12 (1 H, d, *J*_{11,10} 8.5, H-11); δ_C (125 MHz, CDCl₃) 65.7, 70.9, 122.8, 125.5, 125.9, 127.3, 128.7, 128.9, 129.6, 129.7, 131.5, 131.9, 133.5; *m/z* 268 (37), 250 (100), 222 (67), 194 (86), 166 (94), 86 (86); electronic CD data: λ 312 nm (Δε –3.447), 261 (Δε 11.65), 253 (Δε 9.112), 229 (Δε –2.297), 209 (Δε 6.922); ee >98% by the MEBBA method.

Biotransformations using *P. putida* 9816/11

(a) **Benzo[*b*]naphtho[1,2-*d*]furan 8.** Substrate **8** (0.1 g, 0.45 mmol) yielded a single product (0.013 g, 11%), which was indistinguishable from the sample of (+)-(1*R*,2*S*)-1,2-dihydrobenzo[*b*]naphtho[1,2-*d*]furan-1,2-diol **10** obtained earlier from *S. yanoikuyae* B8/36.

(b) **8,9,10,11-Tetrahydrobenzo[*b*]naphtho[1,2-*d*]furan 12.** Substrate **12** did not yield any identifiable bioproducts from *Sphingomonas yanoikuyae* B8/36. However, using *P. putida* 9816/11 substrate **12** (0.10 g, 0.45 mmol) yielded (+)-(1*R*,2*S*)-1,2,8,9,10,11-hexahydrobenzo[*b*]naphtho[1,2-*d*]furan-1,2-diol **14** (0.008 g, 8%), *R*_f 0.3 (CHCl₃–MeOH, 95 : 5), mp 117 °C (from hexane–CH₂Cl₂), [α]_D +70 (c 0.7, CHCl₃) (Found: M⁺, 256.110031. C₁₆H₁₆O₃ requires *M* 256.109945); δ_H (500 MHz, CDCl₃) 1.82–1.98 (4 H, m, 8-H and 9-H), 2.74–2.91 (4 H, m, 10-H and 11-H), 4.66 (1 H, dd, *J*_{2,1} 4.8, *J*_{2,4} 2.5, 2-H), 5.00 (1 H, dd, *J*_{1,2} 5.2, *J*_{1,3} 1.4, 1-H), 5.79 (1 H, ddd, *J*_{3,4} 9.8, *J*_{3,2} 1.8, *J*_{3,1} 1.8, 3-H), 6.48 (1 H, dd, *J*_{4,3} 9.9, *J*_{4,2} 2.8, 4-H), 6.96 (1 H, d, *J*_{6,5} 8.2, 5-H), 7.30 (1 H, d, *J*_{5,6} 8.2, 6-H); δ_C (125 MHz, CDCl₃) 21.59, 21.90, 22.26, 23.18, 66.67, 70.05, 109.98, 111.47, 112.21, 122.62, 123.64, 127.18, 128.77, 130.67, 138.82, 156.92; *m/z* 256 (M⁺, 45), 238 (100), 210 (85); electronic CD data: λ 326 nm (Δε 1.019), 312 (Δε –0.8294), 279 (Δε –3.557), 245 (Δε 6.044), 205 (Δε 0.6787); >98% ee by the MEBBA method.

Acknowledgements

We acknowledge financial support from the BBSRC (NDS), the European Social Fund (JSH and MAK) and the QUESTOR Centre (CCRA). We also wish to thank David Clarke (QUESTOR) and Heiko Nieke (Mannheim) for assistance in the initial stages of the biotransformation programme.

References

- 1 D. R. Boyd and N. D. Sharma, *Chem. Soc. Rev.*, 1996, 289.
- 2 S. M. Resnick, K. Lee and D. T. Gibson, *J. Ind. Microbiol.*, 1996, **17**, 438.
- 3 D. R. Boyd and G. N. Sheldrake, *Nat. Prod. Rep.*, 1998, **15**, 309.
- 4 T. Hudlicky, D. Gonzalez and D. T. Gibson, *Aldrichimica Acta*, 1999, **32**, 35.
- 5 D. T. Gibson, V. Mahadevan, D. M. Jerina, H. Yagi and H. J. C. Yeh, *Science*, 1975, **189**, 295.
- 6 D. M. Jerina, P. J. van Bladeren, H. Yagi, D. T. Gibson, V. Mahadevan, A. S. Neese, M. Koreeda, N. D. Sharma and D. R. Boyd, *J. Org. Chem.*, 1984, **49**, 3621.
- 7 D. R. Boyd, N. D. Sharma, R. Agarwal, S. M. Resnick, M. J. Schocken, D. T. Gibson, J. M. Sayer, H. Yagi and D. M. Jerina, *J. Chem. Soc., Perkin Trans. 1*, 1997, 1715.

- 8 D. R. Boyd, N. D. Sharma, F. Hempenstall, M. A. Kennedy, J. F. Malone, C. C. R. Allen, S. M. Resnick and D. T. Gibson, *J. Org. Chem.*, 1999, **64**, 4005.
- 9 P. J. van Bladeren, S. K. Balani, J. M. Sayer, D. R. Thakker, D. R. Boyd, D. E. Ryan, P. E. Thomas, W. Levin and D. M. Jerina, *Biochem. Biophys. Res. Commun.*, 1987, **145**, 160.
- 10 M. K. Lakshman, H. J. C. Yeh, H. Yagi and D. M. Jerina, *Tetrahedron Lett.*, 1992, **33**, 7121.
- 11 S. K. Agarwal, J. M. Sayer, H. J. C. Yeh, H. Yagi and D. M. Jerina, *J. Am. Chem. Soc.*, 1987, **109**, 2497.
- 12 S. M. Resnick, D. S. Torok and D. T. Gibson, *J. Org. Chem.*, 1995, **60**, 3546.
- 13 C. C. R. Allen, D. R. Boyd, F. Hempenstall, M. J. Larkin, K. A. Reid and N. D. Sharma, *Appl. Environ. Microbiol.*, 1999, **65**, 1335.
- 14 D. R. Boyd, N. D. Sharma, I. N. Brannigan, D. A. Clarke, H. Dalton, S. A. Haughey and J. F. Malone, *Chem. Commun.*, 1996, 2361.
- 15 C. E. Cerniglia, J. C. Morgan and D. T. Gibson, *J. Biochem.*, 1979, **180**, 175.
- 16 D. R. Boyd, N. D. Sharma, R. Boyle, B. T. McMurray, T. A. Evans, J. F. Malone, J. Chima, H. Dalton and G. N. Sheldrake, *Chem. Commun.*, 1996, 2361.
- 17 D. T. Gibson, S. M. Resnick, K. Lee, J. M. Brand, D. S. Torok, L. P. Wackett, M. J. Schocken and B. E. Haigler, *J. Bacteriol.*, 1995, **177**, 2615.
- 18 R. Agarwal, D. R. Boyd, N. D. Sharma, H. Dalton, N. A. Kerley, A. S. McMordie, G. N. Sheldrake and P. Williams, *J. Chem. Soc., Perkin Trans. 1*, 1996, 67.
- 19 D. R. Boyd, N. D. Sharma, F. Hempenstall, M. A. Kennedy, J. F. Malone, S. M. Resnick and D. T. Gibson, *J. Org. Chem.*, 1999, **64**, 4005.
- 20 D. R. Boyd, N. D. Sharma, J. G. Carroll, C. C. R. Allen, D. A. Clarke and D. T. Gibson, *Chem. Commun.*, 1998, 683.
- 21 R. M. Letcher, *J. Chem. Ed.*, 1981, **58**, 1020.
- 22 T. S. Osdene and P. B. Russel, *J. Org. Chem.*, 1966, **31**, 2646.
- 23 K. Rabindran and B. D. Tilak, *Proc. Indian Acad. Sci.*, 1953, **37a**, 564.